

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Charles J. Davidson et al.

Title:

Catheter With Side Sheath

Appln. No.:

09/663,111

Filed:

09/15/2000

Examiner:

P. Prebelic

Art Unit:

3738

## <u>UPDATED INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is directed to the cited references listed on the attached PTO/SB/08.

Many of the cited references were previously cited in the parent applications. In accordance with 37 C.F.R. 1.98(d), no copies of these references are submitted herewith.

The following listed United States patents and published patent applications are listed on the attached PTO/SB/08 but were not submitted in the parent applications.

Copies of the following United States patents and publications are not enclosed:

U.S. Pat. No. 3,657,744	U.S. Pat. No. 4,309,994
U.S. Pat. No. 4,453,545	U.S. Pat. No. 4,731,055
U.S. Pat. No. 4,819,664	U.S. Pat. No. 4,878,495
U.S. Pat. No. 4,906,244	U.S. Pat. No. 4,909,258
U.S. Pat. No. 4,946,464	U.S. Pat. No. 4,964,850
U.S. Pat. No. 5,059,177	U.S. Pat. No. 5,085,664

II C D-4 N- 5 102 417	HO D.4 N. 5 117 001
U.S. Pat. No. 5,102,417	U.S. Pat. No. 5,117,831
U.S. Pat. No. 5,135,536	U.S. Pat. No. 5,159,920
U.S. Pat. No. 5,176,617	U.S. Pat. No. 5,195,984
U.S. Pat. No. 5,222,971	U.S. Pat. No. 5,226,913
U.S. Pat. No. 5,234,457	U.S. Pat. No. 5,257,974
U.S. Pat. No. 5,263,932	U.S. Pat. No. 5,282,472
U.S. Pat. No. 5,324,257	U.S. Pat. No. 5,338,300
U.S. Pat. No. 5,342,297	U.S. Pat. No. 5,342,387
U.S. Pat. No. 5,383,892	U.S. Pat. No. 5,395,332
U.S. Pat. No. 5,395,334	U.S. Pat. No. 5,413,581
U.S. Pat. No. 5,449,382	U.S. Pat. No. 5,456,694
U.S. Pat. No. 5,456,714	U.S. Pat. No. 5,462,530
U.S. Pat. No. 5,505,702	U.S. Pat. No. 5,549,553
U.S. Pat. No. 5,549,554	U.S. Pat. No. 5,562,620
U.S. Pat. No. 5,571,087	U.S. Pat. No. 5,575,771
U.S. Pat. No. 5,591,228	U.S. Pat. No. 5,609,625
U.S. Pat. No. 5,609,627	U.S. Pat. No. 5,609,629
U.S. Pat. No. 5,613,949	U.S. Pat. No. 5,626,600
U.S. Pat. No. 5,632,772	U.S. Pat. No. 5,662,614
U.S. Pat. No. 5,679,400	U.S. Pat. No. 5,690,642
U.S. Pat. No. 5,718,683	U.S. Pat. No. 5,724,977
U.S. Pat. No. 5,746,766	U.S. Pat. No. 5,749,848
U.S. Pat. No. 5,776,101	U.S. Pat. No. 5,776,161
U.S. Pat. No. 5,800,450	U.S. Pat. No. 5,814,061
U.S. Pat. No. 5,817,126	U.S. Pat. No. 5,824,008
U.S. Pat. No. 5,833,650	U.S. Pat. No. 5,837,008
U.S. Pat. No. 5,843,160	U.S. Pat. No. 5,843,164
U.S. Pat. No. 5,846,204	U.S. Pat. No. 5,855,600
U.S. Pat. No. 5,855,601	U.S. Pat. No. 5,865,178

U.S. Pat. No. 5,871,537	U.S. Pat. No. 5,891,133
U.S. Pat. No. 5,921,958	U.S. Pat. No. 5,928,248
U.S. Pat. No. 5,948,016	U.S. Pat. No. 5,951,599
U.S. Pat. No. 5,967,986	U.S. Pat. No. 6,007,517
U.S. Pat. No. 6,013,054	U.S. Pat. No. 6,017,324
U.S. Pat. No. 6,033,434	U.S. Pat. No. 6,045,557
U.S. Pat. No. 6,059,823	U.S. Pat. No. 6,059,824
U.S. Pat. No. 6,071,285	U.S. Pat. No. 6,086,611
U.S. Pat. No. 6,090,128	U.S. Pat. No. 6,096,073
U.S. Pat. No. 6,099,497	U.S. Pat. No. 6,142,973
U.S. Pat. No. 6,152,945	U.S. Pat. No. 6,165,197
U.S. Pat. No. 6,183,506	U.S. Pat. No. 6,190,403
U.S. Pat. No. 6,210,429	U.S. Pat. No. 6,231,598
U.S. Pat. No. 6,231,600	U.S. Pat. No. 6,235,051
U.S. Pat. No. 6,241,762	U.S. Pat. No. 6,258,121
U.S. Pat. No. 6,273,913	U.S. Pat. No. 6,293,967
U.S. Pat. No. 6,299,634	U.S. Pat. No. 6,309,414
U.S. Pat. No. 6,312,459	U.S. Pat. No. 6,325,826
U.S. Pat. No. 6,383,215	U.S. Pat. No. 6,395,018
U.S. Pat. No. 6,398,792	U.S. Pat. No. 6,540,799
U.S. Pat. No. 6,572,647	U.S. Pat. No. 6,599,316
U.S. Pat. No. 6,689,156	U.S. Pat. No. 6,706,062
U.S. Publ. No. 2001/0027338	U.S. Publ. No. 2002/0013619
U.S. Publ. No. 2002/0042650	U.S. Publ. No. 2002/0052648
U.S. Publ. No. 2002/0072790	U.S. Publ. No. 2002/0151959
U.S. Publ. No. 2002/0156516	U.S. Publ. No. 2002/0156517
U.S. Publ. No. 2002/0165604	U.S. Publ. No. 2002/0173835
U.S. Publ. No. 2002/0193872	U.S. Publ. No. 2003/0009214
U.S. Publ. No. 2003/0014102	U.S. Publ. No. 2003/0125799

Attorney Docket No.: 021617.0216PTUS Application No.: 09/663,111

U.S. Publ. No. 2004/0015227	

The following listed foreign references are listed on the attached PTO/SB/08 but were not submitted in the subject application or a parent application. Accordingly, copies of the following foreign references are submitted herewith on the attached CD-Rom:

CA 2,318,314	DE 9014845.2
EP 551179	EP 646365
EP 684022	EP 884028
EP 891751	EP 897698
EP 1031328	EP 1031330
EP 1157674	WO 00/53122
WO 00/74595	WO 01/21095
WO 01/21109	WO 01/21244
WO 01/70299	WO 02/68012
WO 02/76333	WO 02/94336
WO 03/55414	WO 90/13332
WO 91/12779	WO 95/08965
WO 95/21592	WO 97/09946
WO 97/16217	WO 97/32544

Attorney Docket No.: 021617.0216PTUS Application No.: 09/663,111

WO 97/41803	WO 98/19628	
WO 98/37833	WO 99/34749	
WO 99/36002	WO 99/58059	

The following listed articles are listed on the attached PTO/SB/08 but were not submitted in a parent application. Accordingly, copies of the following articles are submitted herewith on the attached CD-Rom:

Dichek, et al.; Circulation, 80: 1347-1353 (1989)	
Chevalier, et al.; American Journal of Cardiology, 82: 943-949 (1998)	
Yamashita, et al.; Journal of American College of Cardiology, 35: 1145-1151	(2000)

No representation is made or intended that more relevant information does not exist or that the order of presentation of the references in any way reflects their relative pertinence. Additionally, submission of references herewith in no way constitutes an admission by Applicants that the submitted references constitute prior art to the present application.

Applicants respectfully request that each of the cited references be expressly considered during the prosecution of this application and that the cited references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Updated Information Disclosure Statement is being submitted after the mailing of a first Office Action on the merits and more than three months from the filing of the application, but before the issuance of an action that closes prosecution on the merits (37 C.F.R. § 1.17(p)). The Commissioner is hereby authorized to charge the

Attorney Docket No.: 021617.0216PTUS

Application No.: 09/663,111

\$180.00 fee required under 37 C.F.R. 1.17(p) and any additional fees that are required regarding this application under 37 C.F.R. §§ 1.16-1.17, to Deposit Account No. 50-2228. A Fee Transmittal is enclosed.

Respectfully submitted,

Michele Van Patten Frank Registration No. 37,028

Date

Customer No. 32042

Telephone: (703) 744-7907 Facsimile: (703) 744-8001

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: June 1, 2004

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C	Complete if Known
Application Number	09/663,111
Filing Date	09/15/2000
First Named Inventor	Charles J. Davidson
Group Art Unit	3738
Examiner Name	P. Prebelic
Attorney Docket Number	3738

U.S. PATENT DOCUMENTS						
Examiner	r Cite U.S. Patent Document	Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Initials*	KING I		Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
		1,596,754		J.D. MOSCHELLE	08/17/1926	
	<u> </u>	3,657,744		ERSEK	04/25/1972	
		3,872,893		ROBERTS	03/25/1975	
		4,140,126		CHOUDHURY	02/20/1979	
		4,309,994		GRUNWALD	01/12/1982	
		4,410,476		REDDING et al.	10/18/1983	
		4,413,989		SCHJELDAHL	11/08/1983	
		4,421,810		RASMUSSEN	12/20/1983	
		4,453,545		INOUE	06/12/1984	
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		4,762,128		ROSENBLUTH	12/22/1998	
		4,769,029		PATEL	09/06/1988	
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		4,906,244		PINCHUK et al.	03/06/1990	
		4,909,258		KUNTZ et al.	03/20/1990	
		4,946,464		PEVSNER	08/07/1990	n-vide-
		4,957,501		LAHILLE et al.	09/18/1990	<i>&gt;</i>
		4,957,508		KANEKO et al.	09/18/1990	
		4,964,850		BOUTON et al.	10/23/1990	****
		4,983,167		SAHOTA	01/08/1991	
		4,994,071		MACGREGOR	02/19/1991	
		5,042,976		ISHITSU et al.	08/27/1991	
	<u> </u>	5,054,501		CHUTTANI et al.	10/08/1991	
		5,059,177		TOWNE et al.	10/22/1991	
		5,061,240		CHERIAN	10/29/1991	
		5,064,435		PORTER	11/12/1991	
		5,085,664		BOZZO	02/04/1992	

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1	Examiner	Date	
ı	Signature	Considered	
٦		 	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number: 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PIO Complete if Known INFORMATION DISCLOSURE 09/663,111 **Application Number** STATEMENT BY APPLICANT 09/15/2000 Filing Date **First Named Inventor** Charles J. Davidson Date Submitted: June 1, 2004 **Group Art Unit** 3738 (use as many sheets as necessary) P. Prebelic **Examiner Name** of **Attorney Docket Number** 3738

U.S. PATENT DOCUMENTS				
	U.S. Patent Document			
	5,102,403	ALT	04/07/1992	
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	5,117,831	JANG	06/02/1992	
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	5,282,472	COMPANION et al.	02/01/1994	
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	5,337,733	BAUERFEIND et al.	08/16/1994	
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	5,342,295	IMRAN	08/30/1994	
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	5,350,395	YOCK	09/27/1994	
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	5,395,332	RESSEMANN et al.	03/07/1995	
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	5,445,624	JIMINEZ	08/29/1995	
	5,449,373	PINCHASIK et al.	08/29/1995	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Date Submitted: June 1, 2004

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Complete if Known		
Application Number	09/663,111	
Filing Date	09/15/2000	
First Named Inventor	Charles J. Davidson	
Group Art Unit	3738	
Examiner Name	P. Prebelic	
Attorney Docket Number	3738	

U.S. PATENT DOCUMENTS			
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5,449,382	DAYTON	09/12/1995	
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5,632,763	GLASTRA	05/27/1997	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

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Date Submitted: June 1, 2004

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Sheet 4 of 12

Complete if Known		
Application Number 09/663,111		
Filing Date	09/15/2000	
First Named Inventor	Charles J. Davidson	
Group Art Unit	3738	
Examiner Name	P. Prebelic	
Attorney Docket Number	3738	

<u> </u>	U.S. PATENT DOCUMENT	S	
U.S. Patent Document			
5,632,772	ALCIME et al.	05/27/1997	
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5,676,697	McDONALD	10/14/1997	<del></del>
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5,690,642	OSBORNE et al.	11/25/1997	,
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5,720,735	DORROS	02/24/1998	
5,723,004	DEREUME et al.	03/03/1998	
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5,733,303	ISRAEL et al.	03/31/1998	
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5,755,734	RICHTER et al.	05/26/1998	
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5,776,161	GLOBERMAN	07/07/1998	-
5,776,180	GOICOECHEA et al.	07/07/1998	
5,800,450	LARY et al.	09/01/1998	
5,800,508	GOICOECHEA et al.	09/01/1998	

Examiner	Date	
Signature	Considered	

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STATEMENT BY APPLICANT Date Submitted: June 1, 2004

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Complete if Known		
Application Number	09/663,111	
Filing Date	09/15/2000	
First Named Inventor	Charles J. Davidson	
Group Art Unit	3738	
Examiner Name	P. Prebelic	····
Attorney Docket Number	3738	

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<u>Sheet</u> 6 of 12

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Group Art Unit	3738			
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